



BHARAT BHUSHAN FINANCE & COMMODITY BROKERS LTD.

(Corporate Identity Number : L67120DL1992PLC049038)

Regd. Office : 503, Rohit House, 3, Tolstoy Marg, New Delhi-110001

Tel.: 011-49800900 • Fax : 011-49800933

E-mail : commodities@bharatbhushan.com • Website : www.bbinvestments.in

Ref: BBFCB/BSE/2022-23

November 18, 2022

**To,
BSE Ltd.,
Corporate Relationship Department,
Phiroze Jeejeebhoy Towers,
25th Floor, Dalal Street,
Mumbai-400001**

SCRIP CODE: 511501

ISIN: INE900A01013

Sub: Submission of SDD Compliance Certificate for the quarter ended September 30, 2022

Dear sir / ma'am,

Pursuant to Regulation 3(5) and 3(6) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find enclosed herewith the SDD compliance certificate for the quarter ended September 30, 2022.

Kindly take the same on records.

Thanking you,

Yours faithfully,

For Bharat Bhushan Finance & Commodity Brokers Ltd.

**Kishan Singh
Company Secretary**

Encls: a/a



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COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 30, 2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Kishan Singh, Compliance Officer of the Bharat Bhushan Finance & Commodity Brokers Limited ('the Company'), am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1 (One) number of events during the quarter ended and has captured One (1) number of the said required events.

I would like to report that the following non-compliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: **Not applicable**

For **Bharat Bhushan Finance & Commodity Brokers Ltd.**

Kishan Singh
Compliance Officer
Date: 18.11.2022
Place: New Delhi