



BHARAT BHUSHAN FINANCE & COMMODITY BROKERS LTD.

(Corporate Identity Number : L67120DL1992PLC049038)

Regd. Office : 503, Rohit House, 3, Tolstoy Marg, New Delhi-110001

Tel.: 011-49800900 • Fax : 011-49800933

E-mail : commodities@bharatbhushan.com • Website : www.bbinvestments.in

Ref: BBFCB/BSE/2023-24

09 October 2023

To,

BSE Ltd.,
Corporate Relationship Department,
Phiroze Jeejeebhoy Towers,
25th Floor, Dalal Street,
Mumbai-400001

SCRIP CODE: 511501

ISIN: INE900A01013

Sub: Submission of SDD Compliance Certificate for the quarter ended September 30, 2023

Dear Sir / Ma'am,

Pursuant to Regulation 3(5) and 3(6) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 read with *BSE Notice No. 20230329-21 dated March 29, 2023*, please find enclosed herewith the SDD compliance certificate for the quarter ended September 30, 2023.

Kindly take the same on records.

Thanking you,

Yours faithfully,

**For and on behalf of
Bharat Bhushan Finance & Commodity Brokers Limited**

**Vaibhav Agrawal
Company Secretary
M. No. ACS 70576**

Encls: a/a



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COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 30, 2023

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Vaibhav Agrawal, Compliance Officer of the Bharat Bhushan Finance & Commodity Brokers Limited ('the Company'), am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter ended September 30, 2023 have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1 (One) number of events during the quarter ended September 30, 2023 and has captured 1 (One) number of the said required events.

~~I would like to report that the following non-compliance(s) was observed in the previous quarter ended on September 30, 2023 and the remedial action(s) taken along with timelines in this regard.~~

**For and behalf of
Bharat Bhushan Finance & Commodity Brokers Limited**

**Vaibhav Agrawal
Compliance Officer
M. No. ACS 70576**

Date: 09 October 2023

Place: New Delhi