

BHARAT BHUSHAN FINANCE & COMMODITY BROKERS LIMITED



ANNEXURE 'E'

Form No. MGT-9

EXTRACT OF ANNUAL RETURN

as on the Financial Year ended on 31st March, 2019

[Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

i	CIN	L67120DL1992PLC049038
ii	Registration Date	03.06.1992
iii	Name of the Company	BHARAT BHUSHAN FINANCE & COMMODITY BROKERS LIMITED
iv	Category/Sub-category of the Company	Company Limited by shares
v	Address of the Registered office & contact details	503, Rohit House, 3, Tolstoy Marg, New Delhi-110001
vi	Whether listed company	Listed
vii	Name, Address & contact details of the Registrar & Transfer Agent, if any	Alankit Assignments Limited, 1E/13 Alankit Heights, Jhandewalan Extension, New Delhi – 110 055

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

S. No	Name and Description of Main Products/Services	NIC Code of the Product /Service	% to total turnover of the Company
1.	The Company is engaged in investments and dealing in shares and other securities, providing loan & advances and other related activities	64990	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES – NA

S. No.	Name and Address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of Shares Held	Applicable Section

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) CATEGORY-WISE SHARE HOLDING

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% of change during the Year
	Demat	Physical	Total	% of total shares	Demat	Physical	Total	% of total shares	
A. Promoters									
(1) Indian									
(a) Individual/ HUF	1819270	0	1819270	53.82	1819270	0	1819270	53.82	Nil
(b) Central Govt.	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(c) State Govt(s)	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(d) Bodies Corp.	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(e) Banks/FI	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(f) Any Other	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Sub total (A)(1):-	1819270	0	1819270	53.82	1819270	0	1819270	53.82	Nil
(2) Foreign									
(g) NRIs-Individuals	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(h) Other Individuals	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(i) Bodies Corp.	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(j) Bank/FI	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(k) Any Other	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Sub-Total (A)(2):-	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Total Shareholding of Promoters (A)= (A)(1) + (A)(2)	1819270	0	1819270	53.82	1819270	0	1819270	53.82	Nil

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Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% of change during the Year
	Demat	Physical	Total	% of total shares	Demat	Physical	Total	% of total shares	
B. Public Shareholding									
1. Institutions	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(a) Mutual Funds	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(b) Banks/FI	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(c) Central Govt.	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(d) State Govt(s)	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(e) Venture Capital Funds	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(f) Insurance Companies	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(g) FII's	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(h) Foreign Venture Capital Funds	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(i) Others : (Specify)	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Sub-total (B)(1):	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
2. Non-Institutions									
(a) Bodies Corp.									
(i) Indian	53090	8100	61190	1.81	45526	3400	48926	1.45	0.36
(ii) Overseas	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(b) Individual									
(i) Individual shareholders holding nominal shares capital upto Rs. 2 lakh	666676	345521	1012197	29.94	634158	299921	934079	27.63	2.31
(ii) Individual Shareholders holding nominal share capital in excess of Rs. 2 lakh.	199904	Nil	199904	5.91	268185	Nil	268185	7.93	2.02
(c) Others (specify)									
(i) Clearing Members	Nil	Nil	Nil	Nil	134	Nil	134	0.004	0.004
(ii) HUF	46756	0	46756	1.38	44808	Nil	44808	1.33	0.05
(iii) NRI	932	8000	8932	0.26	933	8000	8933	0.26	0.00
(iv) Corp. Bodies (OCB)	12500	Nil	12500	0.37	12500	Nil	12500	0.37	Nil
(v) Clearing House/ Public House	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(vi) NBFC registered with RBI	1200	Nil	1200	0.04	1200	Nil	1200	0.04	Nil
(vii) Trust	900	Nil	900	0.03	900	Nil	900	0.03	0.00
(viii) IEPF	217551	Nil	217551	6.44	241465	Nil	241465	7.14	0.7
Sub-total(B)(2):-	1199509	361621	1561130	46.18	1249809	311321	1561130	46.18	Nil
Total Public shareholding(B)=(B)(1)+(B)(2)	1199509	361621	1561130	46.18	1249809	311321	1561130	46.18	Nil
C. Shares held by custodians for GDRs & ADRs	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Grand Total (A+B+C)	3018779	361621	3380400	100	3069079	311321	3380400	100	0

ii) SHAREHOLDING OF PROMOTERS

S. No.	Name of Shareholders	Shareholding at the beginning of the year			Shareholding at the end of the year			% Change in share holding during the year
		No. of Shares	% of total shares of the company	% of shares pledge/encumbered to total shares	No. of Shares	% of total shares of the company	% of shares pledge/encumbered to total shares	
1	VIJAY BHUSHAN	801068	23.70	NIL	801068	23.70	NIL	NIL
2	VIJAY BHUSHAN (HUF)	900	0.03	NIL	900	0.03	NIL	NIL
3	NISHAAHUJA	655826	19.40	NIL	655826	19.40	NIL	NIL
4	JOGESH CHANDER AHUJA	66488	1.97	NIL	66488	1.97	NIL	NIL
5	JOGESH AHUJA (HUF)	118607	3.51	NIL	118607	3.51	NIL	NIL
6	SANGEETA BHUSHAN	104565	3.09	NIL	104565	3.09	NIL	NIL
7	MADHVI AHUJA	33905	1.00	NIL	33905	1.00	NIL	NIL
8	SANDHYA JHALANI	30413	0.90	NIL	30413	0.90	NIL	NIL
9	SANT KUMARI AGRAWAL	1500	0.04	NIL	1500	0.04	NIL	NIL
10	SAVI BHUSHAN	100	0.00	NIL	100	0.00	NIL	NIL
11	KANTA AHUJA	5000	0.15	NIL	5000	0.15	NIL	NIL
12	MADHAV BHUSHAN	898	0.03	NIL	898	0.03	NIL	NIL
	Total	1819270	53.82	NIL	1819270	53.82	NIL	NIL

iii) CHANGE IN PROMOTERS' SHAREHOLDING (PLEASE SPECIFY IF THERE IS NO CHANGE)

S. No.	Name of Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
	At the beginning of the year	NIL	NIL	NIL	NIL
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	NIL	NIL	NIL	NIL
	At the end of the year (or on the date of separation, if separated during the year)	NIL	NIL	NIL	NIL

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iv) Shareholding Pattern of top ten Shareholders(Other than Directors, Promoters and Holders of GDRs and ADRs):

S. No.	Name of Shareholders	Shareholding as on 1 st April, 2018		Shareholding as on 31 st March, 2019	
		No. of shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1	Investor Education and Protection Fund	217551	6.44	241465	7.14
2	Jose Varkey Naduthottam	48790	1.44	84435	2.50
3	Milan Dhar	47142	1.39	66928	1.98
4	Guntupalli Harish	41111	1.12	55878	1.65
5	Integrated Financial Services Limited	30000	0.89	30000	0.89
6	Balram Bharwani	22621	0.67	22621	0.67
7	Subeeta Dhar	20894	0.62	20894	0.62
8	T. S. & Sons HUF (Tikam Saraf)	16237	0.48	16237	0.48
9	Savina Sharan	12500	0.37	12500	0.37
10	WF Holdings Limited	12500	0.37	12500	0.37

- The shares of those shareholders who have not claimed their dividend for a consecutive period of 7 years have been transferred to Investor Education and Protection Fund (IEPF)

v) Shareholding of Directors & KMP

S. No.	Name of Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1	Mr. Vijay Bhushan	801068	23.70	801068	23.70
2	Ms. Nisha Ahuja	655826	19.40	655826	19.40
3	Mr. Jogesh Ahuja	66488	1.97	66488	1.97
4	Ms. Sant Kumari Agrawal	1500	0.04	1500	0.04
5	Mr. Arun Kumar Garg	NIL	NIL	NIL	NIL
6	Mr. Kuldeep Gupta	NIL	NIL	NIL	NIL
7	Mr. Ravindra Singh	NIL	NIL	NIL	NIL
8	Mr. Varun Saihgal	NIL	NIL	NIL	NIL
9	Mr. Satish Aggarwal (Chief Financial Officer)	NIL	NIL	NIL	NIL
10	Mr. Piyush Kumar (Company Secretary)	NIL	NIL	NIL	NIL
11	Ms. Sandhya Ahuja (Manager)	30413	0.90	30413	0.90

V. INDEBTEDNESS

Indebtedness of the Company including outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial Year	Nil	Nil	Nil	Nil
i) Principal Amount				
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)				
Change in Indebtedness during the financial year	Nil	Nil	Nil	Nil
● Addition				
● Reduction				
Net Change	Nil	Nil	Nil	Nil
Indebtedness at the end of the financial Year	Nil	Nil	Nil	Nil
i) Principal Amount				
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)	Nil	Nil	Nil	Nil

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-Time Director and/or Manager:

S.No	Particulars of Remuneration	Name of the MD/WTD/Manager	Total Amount
		Ms. Sandhya Ahuja (Manager) 01.04.2018 to 31.03.2019	
1	Gross Salary		
	(a) Salary as per provisions contained in section 17(1) of the Income Tax, 1961	4,14,450.00	4,14,450.00
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	0	0
	(c) Profits in lieu of salary u/s 17(3) Income Tax Act, 1961	0	0
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission		
	- as % of Profit	-	-
	- others, (specify)		
5	Others, please specify	0	0
	Total (A)	4,14,450.00	4,14,450.00
	Ceiling as per the Act.(Per Annum)		30,00,000.00

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B. Remuneration to other Directors:

S. No.	Particulars of Remuneration	Name of the Directors					Total Amount
		Mr. Kuldeep Kumar Gupta	Mr. Varun Saihgal	Mr. Ravindra Singh			
1.	Executive Directors	Nil	Nil	Nil			Nil
2.	Independent Directors	Mr. Kuldeep Kumar Gupta	Mr. Varun Saihgal	Mr. Ravindra Singh			-
	(a) Fee for attending board committee meetings	15,000	25,500	30,000			93,500
	(b) Commission	0.00	0.00	0.00			0.00
	(c) Others, please specify	0.00	0.00	0.00			0.00
	Total (1)	15,000	25,500	30,000			93,500
3.	Other Non-Executive Director	Mr. Vijay Bhushan	Mrs. Nisha Ahuja	Mr. Jogesh C Ahuja	Mrs. Sant Kumari Agrawal	Mr. Arun Kumar Garg	-
	(a) Fee for attending board committee meetings	30,000	30,000	30,000	26,000	26,000	160,000
	(b) Commission	0.00	0.00	0.00	0.00	0.00	0.00
	(c) Others, please specify	0.00	0.00	0.00	0.00	0.00	0.00
	Total (2)	30,000	30,000	30,000	26,000	26,000	160,000
	Total (B)=(1+2)	-	-	-	-	-	253,500
	Total Managerial Remuneration	-	-	-	-	-	253,500
	Overall Ceiling as per the Act	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

S.No.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	Company Secretary	CFO	Total
1.	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	N.A.	2,75,325	4,99,560	7,75,185
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	N.A.	0.00	0.00	0.00
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	N.A.	0.00	0.00	0.00
2.	Stock Option	N.A.	0.00	0.00	0.00
3.	Sweat Equity	N.A.	0.00	0.00	0.00
4.	Commission				
	- as % of profit	N.A.	0.00	0.00	0.00
	- others, (specify)				
5.	Others, please specify	N.A.	0.00	0.00	0.00
	Total	-	2,75,325	4,99,560	7,75,185

VII. PENALTIES/PUNISHMENTS/COMPOUNDING OF OFFENCES: NIL

Type	Section of the Companies Act	Brief Description	Details of Penalty/Punishment/ Compounding fees imposed	Authority (RD/NCLT/ Court)	Appeal Made if any (give Details)
A. COMPANY					
Penalty					
Punishment					
Compounding					
B. DIRECTORS					
Penalty					
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty					
Punishment					
Compounding					